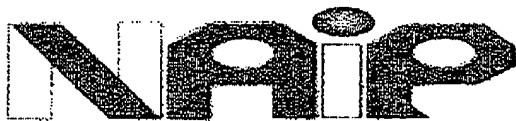


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Art Unit: 1732

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From : Winston Hsu, Registration No. 41,526

Serial No.: 10/709,708

Attorney Docket No.: OTMP0078USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 31 pages (including cover page)

Winston Hsu SEP. 01 2006

OTMP0078USA0_D1_2

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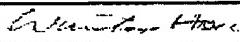
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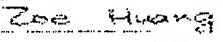
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TRANSMITTAL FORM		Application Number	10/709,708	
		Filing Date	05/24/2004	
		First Named Inventor	Chien-Hung HSU	
		Art Unit	1732	
		Examiner Name	WOLLSCHLAGER, JEFFREY MICHAEL	
(to be used for all correspondence after initial filing)				
Total Number of Pages in This Submission		→	Attorney Docket Number	OTMP0078USA

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD. Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corporation		
Signature			
Printed name	Winston Hsu		
Date	SEP. 01 2006	Reg. No.	41,526

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Typed or printed name	Zoe Huang		
		Date	SEP. 01 2006

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

<i>Complete if Known</i>	
Application Number	10/709,708
Filing Date	05/24/2004
First Named Inventor	Chien-Hung HSU
Examiner Name	WOLLSCHLAGER, JEFFREY MICHAEL
Art Unit	1732
Attorney Docket No.	OTMP0078USA

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify): _____

Deposit Account Deposit Account Number: 50-3105 Deposit Account Name: North America Intellectual Property Corporation

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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| <input checked="" type="checkbox"/> Charge fee(s) indicated below | <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 | <input checked="" type="checkbox"/> Credit any overpayments |

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Small Entity

Fee (\$) Fee (\$)

50 25

Each independent claim over 3 (including Reissues)

200 100

Multiple dependent claims

360 180

Total Claims

Extra Claims

Fee (\$)

Fee Paid (\$)

Multiple Dependent Claims

Fee (\$) Fee Paid (\$)

- 20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)

- 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(\$).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)

- 100 = _____ / 50 _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): _____

Fee Paid (\$)

SUBMITTED BY		Registration No. (Attorney/Agent)	Telephone
Signature	<i>Winston Hsu</i>	41,526	3027291562
Name (Print/Type)	Winston Hsu	Date	SEP. 01 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use as many sheets as necessary)</small>				Application Number	10/709,708
				Filing Date	05/24/2004
				First Named Inventor	Chien-Hung HSU
				Art Unit	1732
				Examiner Name	WOLLSCHLAGER, JEFFREY MICHAEL
				Attorney Docket Number	OTMP0078USA

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature _____ **Date Considered** _____

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or COMMUNICATION 1. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST.18 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Examiner: WOLLSCHLAGER, JEFFREY MICHAEL

Applicants: Chien-Hung Hsu;

Wen-Yung Huang;

Ruei-Jen Wang;

Yo-Chen Chen;

10 Chia-Hung Wu

Filing Date: 05/24/2004 Art Unit: 1732

Appl. No.: 10/709,708 Docket No.: OTMP0078USA

Conf. No.: 3707

15 Title: METHOD FOR FORMING MICROSTRUCTURE OPTICAL ELEMENTS

To: The Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450

20

Subject: Information disclosure statement Under 37C.F.R.
§1.56.

Dear Sir:

25 This is an Information Disclosure Statement in

accordance with the duty to disclose information material to patentability under 37 CFR §1.56. The applicants wish to make of record the document listed on the accompanying form PTO/SB/08.

5

Since the IDS is filed before the mailing date of a first Office action on the merits, consideration of the information disclosure statement is hereby requested according to 37C.F.R.§1.97(b). The Chinese cited art 10 contained in the information disclosure statement was mailed from the State Intellectual Property Office of China on June 2, 2006. Applicants sincerely hope that the examiner can consider the items contained in the information disclosure statement.

15

According to the requirement set forth in 37 CFR §1.98 and MPEP 609, applicants are submitting a copy of the cited Chinese Publication #CN1332669A (published on January 23, 2002). The Chinese art has 20 a corresponding US patent #US6,391,231 B1 (published on May 21, 2002).

Sincerely yours,

25

Winston HsuDate: SEP. 01 2006

Winston Hsu, Patent Agent No. 41,526
5 P.O. BOX 506, Merrifield, VA 22116, U.S.A.
Voice Mail: 302-729-1562
Facsimile: 806-498-6673
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